

# **Margin Lending Application Form.**

# Instructions For Completing Your Application

- Please use a **BLACK** pen to complete the application
- Please complete the application in **BLOCK LETTERS**
- Do **NOT** use correction fluid. If you make an error, cross it out and have all parties initial the change.

Please complete **at minimum**, the sections that match your applicant type(s):

	Application type		
	Individual / Joint	Company	Trust
Minimum sections required	1 Adviser details 2.1 Borrower details 6 Bank account details 12 Signature and witness	1 Adviser details 2.2 Company details 3.1 Guarantor details 6 Bank account details 13 Signature and witness 14 Guarantor signatures	1 Adviser details 2.1 or 2.2 Borrower details (if company trust, refer to company minimum requirements) 2.3 Borrower trust details 6 Bank account details 12 or 13 Signature and witness
	Individual Guarantors	Company Guarantors	Trust Guarantors
Additional sections required with Guarantors	3.1 Individual Guarantor details 14 Signature and witness	3.2 Company Guarantor details 15 Signature and witness	3.1 or 3.2 Guarantor trust details 3.3 Trust Guarantor details 14 or 15 Signature and witness

- All Borrowers, Guarantors and Authorised Persons with full access must be identified. This can be completed by your Financial Adviser, an Australia Post Office Official or at one of our state offices. The Australia Post form can be downloaded from [leveraged.com.au](http://leveraged.com.au) or contact us on 1300 307 807.
- If applying for *WealthBuilder*, please complete the separate application form attached.
- If refinancing or transferring security, please complete the Refinance and/or Transfer Authorisation forms attached.
- All words written like *This* have the meaning as set out in Clause 82 of Section 2 of the Leveraged Equities Margin Lending booklet (the Booklet), provided to you with this *Application Form*. In this *Application Form*, “You” or “Your” means the *Borrower* or the *Guarantor* (as the case may be).

On completion of the application, please review the checklist at the end of the application to ensure you have completed all requirements. **Not completing all requirements will delay the approval of your application.**

## Anti-money laundering / Counter terrorism financing.

The anti-money laundering laws within Australia requires us to obtain information to verify your identity. Where you are a business entity this will include details of Directors and Beneficial owners and where you are a Trust, details of the Trustee and Beneficiaries. We may also require other information such as information concerning the business activities, business structure and the source of any payment. Where we request this information, the processing of applications may be delayed until we receive the requested information in a satisfactory form.

## I. ADVISER DETAILS

First name:  Middle name(s):  Surname:

Firm name:

Dealer group:

Phone: (  )  Email:

Customer account reference with you:

By signing this section I acknowledge and confirm that I have identified the customer(s) in accordance with the applicable Know Your Customer requirements.

Adviser signature:

## I.1 ADVISER INFORMATION ACCESS

Please tick here if you would **NOT** like your Adviser to have information only access to your account details via our internet site.

Note: This will not prevent drawdown on your loan for the purpose of settling trades.

## 2. BORROWERS

(Each a *Borrower* under the *Agreement*)

**This is how your name appears on your Holdings.**

Please select appropriate borrower entity:

Individuals

Company

**Trust applicants** must also complete **Section 2.3**

### 2.1 BORROWER 1

Title:  First name:

Middle name(s):

Surname:

#### Residential address: (compulsory)

Unit/House no. Street name:

Suburb/Town:

State:  Postcode:  Country (if not Australia):

#### Postal address (if different from residential address):

Unit/PO Box/House no. Street name:

Suburb/Town:

State:  Postcode:  Country (if not Australia):

#### Telephone numbers:

Work:

Home:

Fax:

Mobile:

Email address:

#### Personal details:

Date of birth:  /  /

Driver's licence no:

**A photocopy of this must be provided**

Occupation:

### BORROWER 2

Title:  First name:

Middle name(s):

Surname:

#### Residential address: (compulsory)

Unit/House no. Street name:

Suburb/Town:

State:  Postcode:  Country (if not Australia):

#### Postal address:

**Please note:** All correspondence will be sent to *Borrower 1*.

#### Telephone numbers:

Work:

Home:

Fax:

Mobile:

Email address:

#### Personal details:

Date of birth:  /  /

Driver's licence no:

**A photocopy of this must be provided**

Occupation:

## 2.2 COMPANY APPLICANTS

### This is how your name appears on your *Holdings*.

(Each a *Borrower* under the *Agreement*).

For company applicants, either two Directors (one if Sole Director) or a Director and Secretary must sign this *Application Form*.

Two Directors (one if Sole Director) must sign a *Guarantee* as *Guarantors* with unlimited liability. Please complete Section 3.1 and 14.

Company name:

ABN/ACN:

Contact name:

### Registered address (compulsory):

Unit/House no. Street name:

Suburb/Town:

State: Postcode: Country (if not Australia):

  

### Postal address (if different from registered address):

Unit/House no. Street name:

Suburb/Town:

State: Postcode: Country (if not Australia):

  

### Telephone numbers:

Office:

 

Fax:

 

A payment of **\$150** is required to Leveraged Equities and can be paid by either (please select):

- Cheque or money order made payable to "Leveraged Equities"; or  
 Direct debiting from a nominated bank account in Section 7.

## 2.3 BORROWER TRUST

If not applicable go to Section 3

If left blank, you represent and warrant that you are not a trustee in relation to your borrowing or any *Secured Property*.

Trust name:

Trustee name:

### We require a Solicitor's Trust Opinion for all Trust applications. You have two options:

Option 1 - Have your legal representative complete a "Solicitor's Trust Opinion" (a template can be obtained by emailing [trustopinion@leveraged.com.au](mailto:trustopinion@leveraged.com.au) (no payment is required to Leveraged Equities).

Option 2 - Send us a copy of your trust deed and any amendments or changes of trustee and we will obtain a Trust Opinion for you.

A payment of **\$250** is required to Leveraged Equities and can be paid by either (please select):

- Cheque or money order made payable to "Leveraged Equities"; or  
 Direct debiting from a nominated bank account in Section 7.

### 3. GUARANTORS (Director and if you want to lodge third party security)

(Each a *Guarantor* under the Agreement)

**This is how your name appears on your Holdings.**

Please select appropriate guarantor entity:

Individuals **Go to Section 3.1**

Company **Go to Section 3.2**

**Trust guarantors** must also complete **Section 3.3**

#### 3.1 INDIVIDUAL GUARANTOR 1

Title:  First name:

Middle name(s):

Surname:

##### Residential address (compulsory):

Unit/House no. Street name:

Suburb/Town:

State:  Postcode:  Country (if not Australia):

##### Postal address (if different from residential address):

Unit/PO Box/House no. Street name:

Suburb/Town:

State:  Postcode:  Country (if not Australia):

##### Telephone numbers:

Work:

Home:

Fax:

Mobile:

Email address:

##### Personal details:

Date of birth:

Driver's licence no.  **A photocopy of this must be provided**

Occupation:

#### INDIVIDUAL GUARANTOR 2

Title:  First name:

Middle name(s):

Surname:

##### Residential address (compulsory):

Unit/House no. Street name:

Suburb/Town:

State:  Postcode:  Country (if not Australia):

##### Postal address (if different from residential address):

Unit/PO Box/House no. Street name:

Suburb/Town:

State:  Postcode:  Country (if not Australia):

##### Telephone numbers:

Work:

Home:

Fax:

Mobile:

Email address:

##### Personal details:

Date of birth:

Driver's licence no.  **A photocopy of this must be provided**

Occupation:

#### 3.2 COMPANY GUARANTORS (if you want to lodge stock in a company name)

**If not applicable go to Section 4**

Name of company:  ABN/ACN:

Postal address:

Contact name:  Contact number:

A payment of **\$150** is required to Leveraged Equities and can be paid by either (please select):

Cheque or money order made payable to "Leveraged Equities"; or

Direct debiting from a nominated bank account in Section 7.

#### 3.3 GUARANTOR TRUST

**If not applicable go to Section 4**

If left blank, you represent and warrant that you are not a trustee in relation to your borrowing or any Secured Property.

Trust name:  Trustee name:

**We require a Solicitor's Trust Opinion for all Trust applications. You have two options:**

Option 1 - Have your legal representative complete a "Solicitor's Trust Opinion" (a template can be obtained by emailing [trustopinion@leveraged.com.au](mailto:trustopinion@leveraged.com.au) (no payment is required to Leveraged Equities).

Option 2 - Send us a copy of your trust deed and any amendments or changes of trustee and we will obtain a Trust Opinion for you.

A payment of **\$250** is required to Leveraged Equities and can be paid by either (please select):

Cheque or money order made payable to "Leveraged Equities"; or

Direct debiting from a nominated bank account in Section 7.

#### 4. AUTHORISED PERSON

Please select the level of authority:

- Information access only       Full access to operate my account

If you select "full access to operate my account" your *Authorised Person* will be able to do anything that you can do under the *Agreement* until you revoke that authorisation in writing and we confirm in writing to you that we have received your notice of revocation and have terminated that person as an *Authorised Person* under the *Agreement*. We may share information about your *Loan(s)* and *Securities* with your *Authorised Person* and contact them in the event of a margin call. In the event of a margin call, this person must be able to make decisions to sell your *Securities*, lodge additional *Securities* or provide additional funds. All instructions from an *Authorised Person* must be in writing (including by facsimile transmission) and bearing their signature unless we give you a *Notice* that we will accept instructions by some other means.

Name of *Authorised Person*:

##### Residential address:

Unit/House no. Street name:

Suburb/Town:

State:      Postcode:      Country (if not Australia):

##### Personal details:

Date of birth:

Signature of *Authorised Person*:

##### Telephone numbers:

Work:

Home:

Fax:

Mobile:

Email:

Relationship to *Borrower(s)*:

#### 5. TAX FILE NUMBER

The collection and use of tax file numbers (TFNs) is strictly regulated by the tax laws and the Privacy Act. You are not required by law to provide your TFN. However, if you do not provide your TFN, tax may be deducted from certain payments made to you at the highest marginal rate of taxation. If you elect to quote your TFN below, you request us, the *Nominee* and the *Sponsor* as your agents on your behalf to quote your TFN in respect of all transactions under the *Agreement* and in respect of the *Secured Property*. Nothing in this request obliges us the *Nominee* or the *Sponsor* to quote your TFN. This request and authority as agent will not apply if you tick the box below indicating you do not want us to quote your TFN on your behalf.

*Borrower 1*:

*Guarantor 1*:

Company/Trust *Borrower*:

*Borrower 2*:

*Guarantor 2*:

Company/Trust *Guarantor*:

- Tick this box if any of you **do not** want to appoint us as your agent to quote your TFN in relation to all transactions you undertake under the *Agreement*.

#### 6. NOMINATED BANK ACCOUNT

This is the account in your name with a financial institution which you nominate as the primary account into which we can **deposit** the proceeds of any loan.

Financial institution:

BSB number:

Account name:

Account number:

#### 7. DIRECT DEBIT REQUEST

##### FOR WEALTHBUILDER APPLICATIONS, PLEASE COMPLETE ATTACHED WEALTHBUILDER FORM.

Please see Section 4 of the *Agreement* for the Terms and Conditions of the Direct Debit Service Agreement.

**Do you want to use the account nominated in Section 6 as the account to be debited?**

- Yes (if yes, go to 7.2)       No (if no, go to 7.1 and 7.2)

##### 7.1 ACCOUNT TO BE DEBITED

Financial institution:

BSB number:

Account name:

Account number:

## 7.2 TIMING OF PAYMENTS TO BE DEBITED

Please nominate at least one of the options listed below

- I/We wish to pay monthly interest charges on my loan. This will be debited on the first Business Day of the following month.
- I/We wish to pay \$ [ ] to my Loan Account weekly/fortnightly/monthly starting on [ ] / [ ] / [ ]
- I/We wish to pay any amount up to a limit of \$ [ ] with/without notification to cover margin payments on my Loan Account.
- I/We wish Leveraged Equities to deduct from my account any amount as I/we may instruct in writing from time to time, or up to \$ [ ] over the telephone.
- I/We wish Leveraged Equities to deduct from my account, my company and/or trust fee(s) if required.

## 7.3 ADDITIONAL BANK ACCOUNT SIGNATORY

This is only required if a signatory on the account is not a Borrower or Guarantor.

Full name:

Signature:

Please note that all parties in the bank account must sign the direct debit request.

## 8. MARKETING CONSENT

We would like to contact you from time to time to provide information on various offers and special promotions in relation to Bendigo and Adelaide Bank Group's products and services. This may happen via mail, email, telephone or other method. If you wish to be contacted by us in relation to offers unrelated to your Leveraged Equities products and services please tick this box.

You may tell us later that you no longer wish to be contacted by us in relation to the above, in which case we will comply with that request.

## 9. OFFER TO MORTGAGE

You offer to give a mortgage to us on the Mortgage Terms set out in Section I of the Booklet. We can accept the Offer of Mortgage by opening a Margin Loan Account in your name (or in the case of the Guarantor being added subsequent to such opening, on the noting of the Guarantor in the records of Leveraged Equities Limited as a Guarantor of the Borrower) and such acceptance will, without any further action by us, create a binding mortgage on the Mortgage Terms.

## 10. POWER OF ATTORNEY

This section of the Application Form contains a power of attorney, made on the day you sign this Application Form.

### 10.1 Who you appoint and the power you give

For valuable consideration, you irrevocably appoint Leveraged Equities Limited (LE), the Nominee, the Sponsor and in regard to each of us, any Authorised Officer, severally as your attorney (an Attorney) to:

- date the Application Form no later than the date LE receives the form (if you do not date it);
- correct errors or omissions in, or make amendments to, the Application Form in accordance with your oral or written instructions;
- execute the Agreement (including the Guarantee, if applicable) on your behalf;
- transfer the Secured Property to LE or the Nominee or to a purchaser or otherwise convey the Secured Property where you are required or permitted to do so under the Agreement or the Mortgage Terms;
- open a Deposit Account in your name (whether alone or jointly with any other Borrower or Guarantor under the Agreement);
- deal in any way with a Deposit Account and, without limitation, set off the balance in the Deposit Account against any of the Total Amount Owing, grant a charge or mortgage over a Deposit Account and give any directions to the issuer of a Deposit Account which you could give as owner of the Deposit Account;
- if you are a corporation or a corporate trustee, complete, sign and date any form required to be lodged with the Australian Securities and Investments Commission in order to register the Mortgage pursuant to the Corporations Act or otherwise in relation to the Mortgage;
- do anything which the Attorney thinks fit in any way relating to your involvement in the Agreement or any transaction contemplated by them or a sale or dealing contemplated by this power of attorney;
- do anything which you can do as owner of the Secured Property and give directions to the Nominated Financial Adviser, the issuer or registry of any Securities, and the Sponsor in relation to the Secured Property (or any part of it);
- sign any forms or other documents which in the Attorney's opinion are necessary or desirable to ensure shares or other securities subject to the Mortgage or intended to be subject to the Agreement are on a CHESS Subregister under the control of the Sponsor as contemplated by the Agreement;
- execute any other document which an Attorney thinks necessary, advisable or incidental in connection with either the Agreement or any transaction contemplated by the Agreement;
- stamp and register the Agreement and any documents contemplated by the Agreement;
- do anything else contemplated by the Agreement; and
- execute any other document which you instruct the Attorney in writing to execute from time to time (whether or not in connection with or contemplated by the Agreement).

### 10.2 Attorney may delegate powers

An Attorney may delegate his or her powers (including this power) and revoke such a delegation.

### 10.3 Interest in transaction

An Attorney may exercise his or her powers even if doing so involves a conflict of interest.

### 10.4 Approval of acts of Attorney

If we request, you must formally approve anything that the Attorney may do under the Agreement.

### 10.5 Indemnity

You indemnify the Attorney against each claim, action, proceeding, judgment, damage, cost, loss, expense or liability incurred or suffered by or brought or made or recovered against the Attorney in connection with the exercise of any of the powers and authorities conferred by this power of attorney.

### 10.6 No personal liability

The exercise by the Attorney of the powers and authorities conferred by this power of attorney does not involve any assumption by the Attorney, or any body in which he or she is a partner or employed, of personal liability in connection with the exercise of the powers and authorities or the consequences of so doing.

### 10.7 Conflict of duty

You authorise the Attorney to exercise the powers and authorities conferred by this power of attorney even if it involves a conflict of duty or the Attorney (or a person they know) have a personal interest in doing so.

### 10.8 Effect as a deed

This power of attorney is intended to have effect as a deed.

### 10.9 Governing Law

This power of attorney is governed by the laws of New South Wales.

## 11. BY COMPLETING AND SIGNING THIS FORM YOU ACHIEVE THE FOLLOWING

You, a *Borrower* or *Guarantor*, as the case may be:

- acknowledge and agree that, by signing this *Application Form*, you have read and understood the *Agreement* provided with this *Application Form*; and
- acknowledge that you have not relied on any taxation, legal or financial advice from us and have sought independent taxation, legal and financial advice on the suitability of the product for you.

You, a *Guarantor*, also acknowledge that you have read and understood the *Guarantee*.

By signing this *Application Form*:

- you, a *Borrower*, apply for a loan on the terms and conditions set out in the *Agreement*;
- you, a *Borrower* or a *Guarantor*, give the acknowledgments and consents in Section 3 (Privacy Disclosure and Consent, Risk Disclosure Statement and Interest Options) of the Booklet;
- you, a *Borrower* or a *Guarantor*, make the *Offer of Mortgage* in Section 9 of this *Application Form*;
- you, a *Borrower* or a *Guarantor*, execute the power of attorney in Section 10 of this *Application Form* as a deed;
- you, a *Borrower*, expressly request us not to provide you with an executed copy of the *Agreement* (including the *Nominee Agreement* and the *Sponsorship Agreement*) or an executed copy of any Direct Debit Request or Direct Debit Service Agreement which you may sign now or later. You acknowledge that copies of each of these documents have been provided to you to retain for your records; and
- you, a *Guarantor*, expressly request us not to provide you with an executed copy of the *Agreement* (including the *Nominee Agreement* and the *Sponsorship Agreement* and the *Guarantee*). You acknowledge that copies of each of these documents have been provided to you to retain for your records.

**SIGNATURES: All signatures must be witnessed by an independent party (NOT a *Borrower* or a *Guarantor*). Executed and delivered as a deed. Signed, Sealed and Delivered by the applicants signing below:**

I/We declare that the credit to be provided to me/us is to be applied wholly or predominantly for business and/or investment purposes.

**Important:** You should not sign this declaration unless the loans are wholly or predominantly for business or investment purposes. By signing this declaration you may lose your protection under the Consumer Credit Code.

### 12. BORROWER 1 (EXECUTED AS A DEED)

Full name:

Signature:

**Witness (*Borrowers* and *Guarantors* cannot witness):**

**Compulsory – name, address and signature required.**

Full name:

Residential address:

Signature:

DATE  /  /

### BORROWER 2 (EXECUTED AS A DEED)

Full name:

Signature:

**Witness (*Borrowers* and *Guarantors* cannot witness):**

**Compulsory – name, address and signature required.**

Full name:

Residential address:

Signature:

DATE  /  /

### 13. COMPANY BORROWER (EXECUTED AS A DEED)

Note - if a person is signing as Sole Director then tick the applicable box below:

- Sole Director and Sole Secretary; or
- Sole Director and there is no Company Secretary pursuant to section 204A(1) of the *Corporations Act*, and the constitution of the company does not require it to have a Company Secretary.

This *Application Form* must be executed by the Company pursuant to its constitution.

Full name:

Office held (e.g. Director/Secretary):

Signature:

DATE  /  /

Full name:

Office held (e.g. Director/Secretary):

Signature:

DATE  /  /

Company Seal  
(if required under constitution)

**14. GUARANTOR 1 (EXECUTED AS A DEED)****GUARANTOR 2 (EXECUTED AS A DEED)**Full name:  
Full name:  
Signature:  
Signature:  
**Witness (Borrowers or Guarantors cannot witness):****Compulsory - name, address and signature required**Full name:  
Full name:  
Residential address:  
  
Residential address:  
  
Signature:  
Signature:  
**DATE**      /      /**DATE**      /      /**15. COMPANY GUARANTORS (EXECUTED AS A DEED)**

Note - if a person is signing as Sole Director then tick the applicable box below:

- Sole Director and Sole Secretary; or
- Sole Director and there is no Company Secretary pursuant to section 204A(1) of the *Corporations Act*, and the constitution of the company does not require it to have a Company Secretary.

This *Application Form* must be executed by the Company pursuant to its constitution.Full name:  
Full name:  
Office held (e.g. Director/Secretary):  
Office held (e.g. Director/Secretary):  
Signature:  
Signature:  
**DATE**      /      /**DATE**      /      /

**Company Seal**  
(if required under constitution)

# Checklist For Successfully Completing Your Application

To open your account as quickly as possible, we **must** have the following:

## ALL APPLICANTS

- Identification of all *Borrowers, Guarantors* and *Authorised Persons* required for Anti-Money Laundering guidelines (Adviser has completed Section 1) or, if you are not applying via an Adviser, you have supplied original identification documents to Australia Post (using the Australia Post Bank@Post form downloaded from leveraged.com.au or by contacting us on 1300 307 807), to our office, or you have provided a certified copy of identification to us by mail.
- Borrowers* and Company Director *Guarantors* (if applicable) have **signed** Sections 12–15 of the application form as appropriate.
- All individual signatures are **witnessed** by an independent person.

## INDIVIDUAL APPLICANTS

- Legible copy of Driver's Licence or Passport (if held) attached.

## COMPANY APPLICANTS

- Cheque or money order made payable to Leveraged Equities attached or Direct Debit Authority completed in section 7 for the Company Application Fee (We cannot perform the searches required to open the company account without this fee).
- At least two Directors (unless Sole Director Company) have signed as *Guarantor* in Section 14.

## TRUST APPLICANTS

- Solicitor's Trust Opinion attached and certified copy of Trust Deed; or
- Cheque or money order made payable to Leveraged Equities attached or Direct Debit Authority completed in Section 7 for Trust Application Fee with certified copy of Trust Deed and amendments. (All trusts require a trust opinion before approval).

# WealthBuilder Application Form

Please see Section 4 of the Agreement for the terms and conditions of the Direct Debit Service Agreement.

Name of Borrower:

## 1. START DATE

Your regular monthly contribution start date:

## 2. PLAN PROVIDER DETAILS

This is to advise which plan providers you will be investing in using WealthBuilder. Prior to completing this form, you will need to ensure that the plan chosen is on our lending list.

	Plan provider (e.g. Fund Manager)	Plan account number	Managed fund name
(a)	<input type="text"/>	<input type="text"/>	<input type="text"/>
(b)	<input type="text"/>	<input type="text"/>	<input type="text"/>
(c)	<input type="text"/>	<input type="text"/>	<input type="text"/>

## 3. INVESTMENT AMOUNTS

The following two tables must correspond with the plan provider details (a) (b) and (c) which you have requested in Section 2.

### 3.1 INITIAL INVESTMENT

Minimum total contribution is \$1,000. This will be debited from your loan account. Leave blank if you have attached a cheque made out to Leveraged Equities.

	Your own contribution (e.g. \$1,000)	Margin loan advance (e.g. \$2,000)	Total (e.g. \$3,000)
(a)	<input type="text"/>	<input type="text"/>	<input type="text"/>
(b)	<input type="text"/>	<input type="text"/>	<input type="text"/>
(c)	<input type="text"/>	<input type="text"/>	<input type="text"/>
Total	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

### 3.2 REGULAR MONTHLY INVESTMENT

Minimum regular monthly contribution is \$250. This amount represents the total regular monthly contribution(s) debited from your loan account.

	Your own contribution (e.g. \$250)	Margin loan advance (e.g. \$250)	Total (e.g. \$500)
(a)	<input type="text"/>	<input type="text"/>	<input type="text"/>
(b)	<input type="text"/>	<input type="text"/>	<input type="text"/>
(c)	<input type="text"/>	<input type="text"/>	<input type="text"/>
Total	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

## 4. DIRECT DEBIT AUTHORISATION

Bank or financial institution:

Account name:

BSB number:

Account number:

### CUSTOMER SIGNATURE 1

Full name:

Signature:

DATE

### CUSTOMER SIGNATURE 2

Full name:

Signature:

DATE

## ADDITIONAL BANK ACCOUNT SIGNATORY

This is only required if Section 4 of this form has been completed and if a signatory on the account is not a Borrower or Guarantor.

Full name:

Signature:

DATE

# Margin Lender Refinance

Complete this section only if you are refinancing an existing margin loan to Leveraged Equities. Please include a recent copy of your existing margin loan statement with your Application.

## 1. EXISTING MARGIN LOAN

Account name:

Margin loan provider:

Contact phone number:

Account number:

## 2. TRANSFER AUTHORISATION

Select one of the options below:

- Full refinance: provide copy of current statement and please note the entire HIN will be transferred to Leveraged Equities for direct equity holdings.
- Partial refinance: please indicate the security code, security name and number of units you wish to refinance below:

Security code:

Security name:

Number of units:

--	--	--	--	--	--	--	--	--	--

--	--	--	--	--	--	--	--	--	--

--	--	--	--	--	--	--	--	--	--

--	--	--	--	--	--	--	--	--	--

--	--	--	--	--	--	--	--	--	--

## 3. GUARANTOR

Please indicate if there is/are *Guarantor/s* on your existing margin loan  Yes  No

If yes, what name is the Holder Identification Number (HIN) held in:

Please ensure Section 3 of the Application is completed.

## 4. SIGNATURES

### BORROWER 1

Full name:

Signature:

DATE

### BORROWER 2

Full name:

Signature:

DATE

### GUARANTOR 1 (IF APPLICABLE)

Full name:

Signature:

DATE

### GUARANTOR 2 (IF APPLICABLE)

Full name:

Signature:

DATE

# Transfer Authorisation – ASX Securities

## 1. LOAN DETAILS

Please enter in each *Borrower(s)* details below.

## ACCOUNT DETAILS

Account name:

Account number (if known):

## 2. TRANSFERRING SECURITIES

Please tick and complete Section A and/or Section B as applicable

Check your existing shareholder statement(s) to confirm if your securities are Issuer or CHESSE Sponsored

Section A - Transfer securities held at a registry (“Issuer Sponsored” securities)

Please transfer all my/our attached Issuer Sponsored holdings to Leveraged Equities (or as listed in table below)

Security code	SRN	Quantity	Beneficial owner

Section B - Transfer securities from a CHESSE sponsor (“CHESSE Sponsored” securities)

Please transfer existing stock from my Broker account or transfer securities listed in table below:

Security code	Quantity	Beneficial owner

Name of Broker/CHESSE sponsor:

Your account number with the sponsor:

Your Holder Identification Number (“HIN”):

## 3. SIGNATURES

Each *Borrower* transferring securities must sign to authorise the transfer.

### BORROWER 1

Full name:

Signature:

DATE

/ /

### BORROWER 2

Full name:

Signature:

DATE

/ /

### GUARANTOR 1 (IF APPLICABLE)

Full name:

Signature:

DATE

/ /

### GUARANTOR 2 (IF APPLICABLE)

Full name:

Signature:

DATE

/ /

# Transfer Authorisation – Managed Funds

## 1. LOAN DETAILS

Please enter in each *Borrower's* details below.

### ACCOUNT DETAILS

Account name:

Account number (if known):

## 2. MANAGED FUNDS

APIR code (if known)	Managed fund name	Investor number

Platform administrator:

Please attach copies of the managed fund/platform statements.

## 3. SIGNATURES

Each *Borrower* must sign to authorise the transfer of securities.

### BORROWER 1

Full name:

Signature:

DATE

/ /

### BORROWER 2

Full name:

Signature:

DATE

/ /

### GUARANTOR 1 (IF APPLICABLE)

Full name:

Signature:

DATE

/ /

### GUARANTOR 2 (IF APPLICABLE)

Full name:

Signature:

DATE

/ /

THIS PAGE HAS BEEN INTENTIONALLY LEFT BLANK

Leveraged Equities